

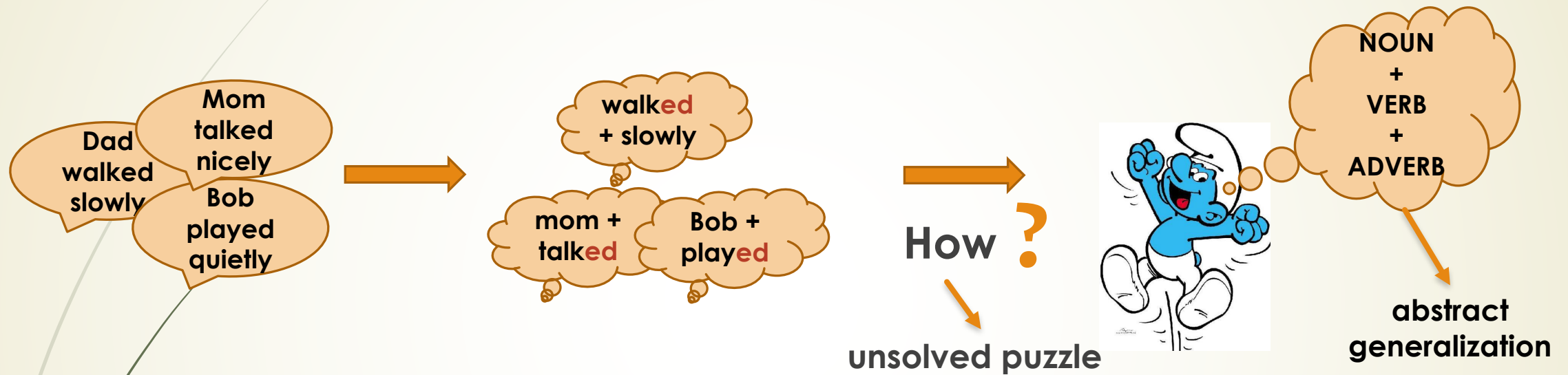
Cognitive Constraints on Rule Induction

An Entropy Model



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How do we make generalizations from little evidence?



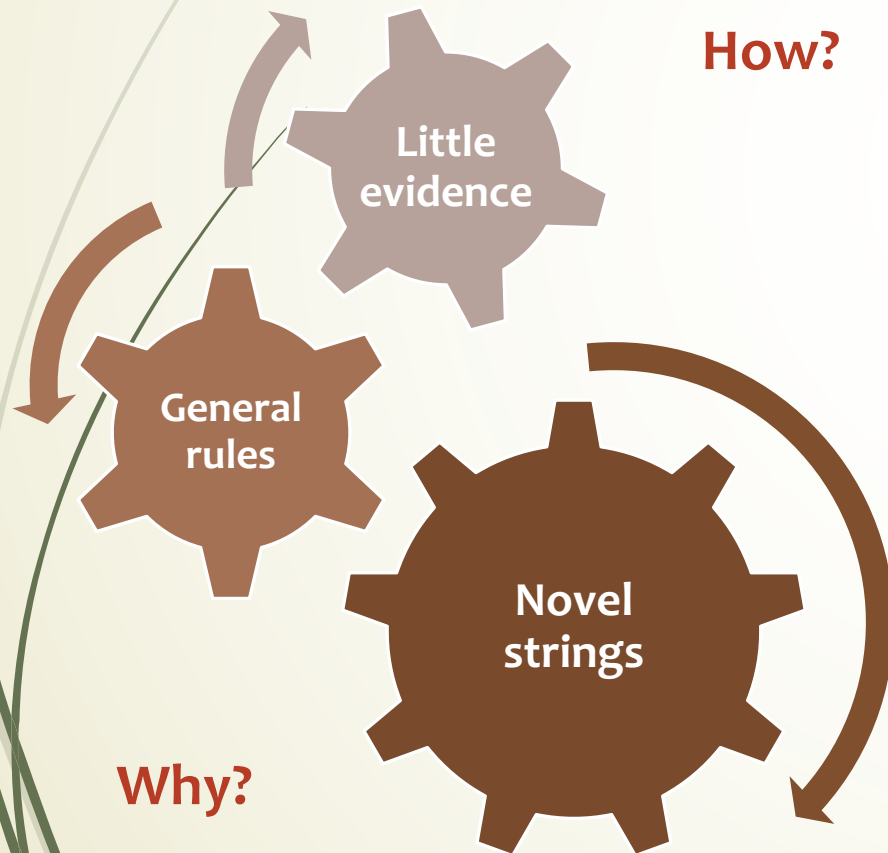
How do learners converge on abstract generalizations?



What triggers the inductive leap from memorizing to rule induction?

Types of Rule Induction (generalization)

Puzzle



Item-bound generalizations

- relations between specific items
 - e.g. *verb* + “-ed”

Category-based generalizations

- operations beyond specific items
- over abstract categories
 - e.g. **NOUN + VERB + ADVERB**

Independent underlying mechanisms ?

1.

• **Statistical learning** -> Item-bound generalizations

- *ba* follows *ba*, end in *di*

• Saffran et al. (1996); Aslin et al. (1998)

2.

• **Abstract rule learning** -> Category-based generalizations

- *varX* follows *varX*, end in *varY*

• Marcus et al. (1999)

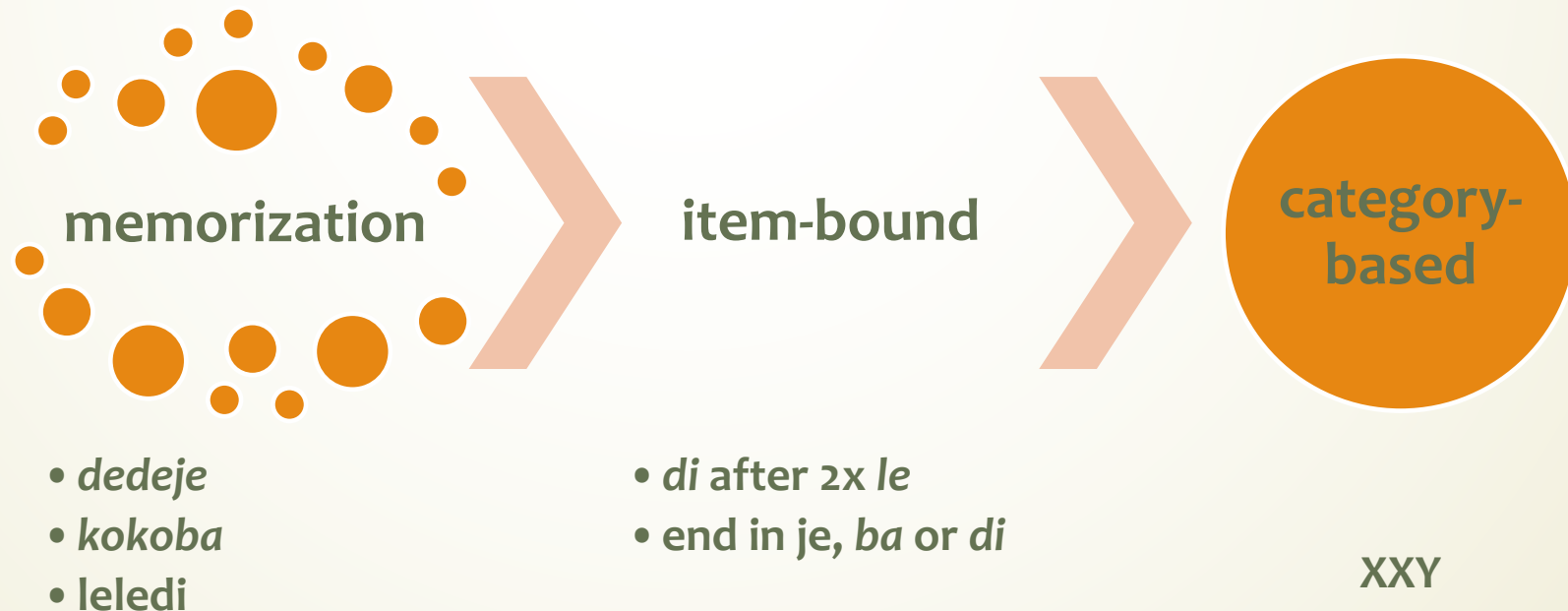
OR

• **Statistical learning** -> BOTH item-bound & category-based generalizations

• Aslin & Newport (2012)

OR

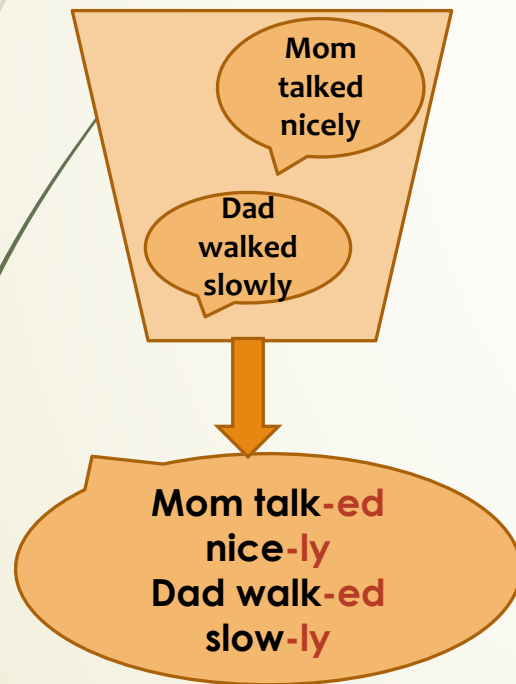
Phased mechanism?



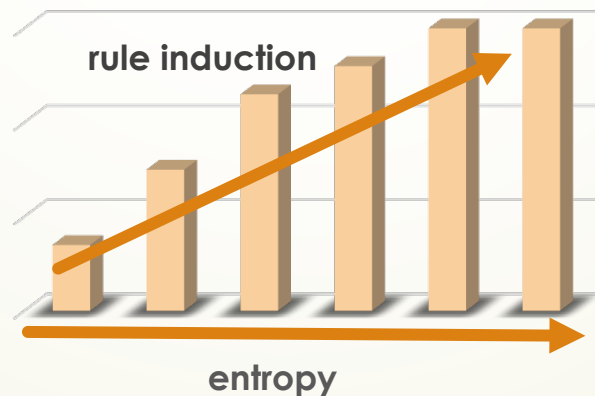
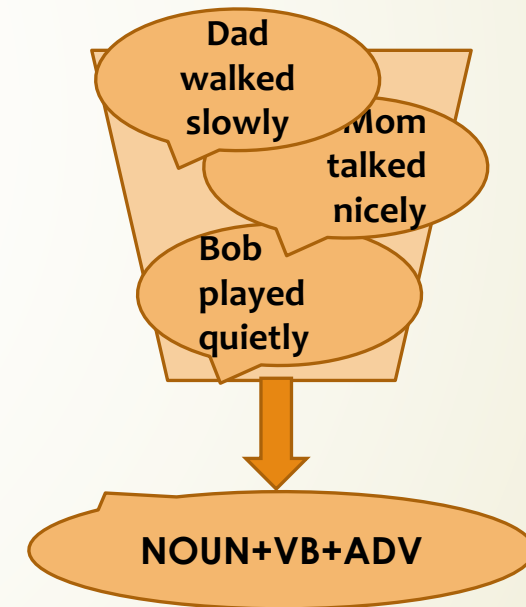
Entropy Model

Rule Induction → the interaction between *input complexity (entropy)* and the limited encoding power of the human brain (*channel capacity*)

Low complexity (entropy) → item-bound generalizations



High complexity (entropy) → category-based generalizations





What is the effect of Input Complexity on Rule Induction ?



Previous research

► input complexity (variability) plays a crucial role in rule induction ✓

► Gomez (2002); Gerken (2006); Reeder, Aslin & Newport (2013)

► **NOT** mere variability, **BUT** a specific pattern of variability

Question

► How can we capture this specific pattern of variability by

incorporating all variables ?





What is the effect of Input Complexity on Rule Induction ?



→ vary **Input Complexity** & keep **Channel Capacity** constant

Artificial Grammar Learning - Experiment 1 + 2

- ▶ 71 adults, ~22y, ~4min, between-subjects
- ▶ 3-syllable XXY: *goo_goo_sjie*
- ▶ manipulated *ENTROPY* (number & frequency)
 - **LowEN**
 - 2.8 bits ($4 \times 7Xs / 4 \times 7Ys$)
 - 3.5 bits ($4 \times 6Xs / 4 \times 6Ys$)
 - **MedEN**
 - 4 bits ($2 \times 12Xs / 2 \times 12Ys$)
 - 4.25 bits ($2 \times 14Xs / 2 \times 14Ys$)
 - **HiEN**
 - 4.58 bits ($1 \times 24Xs / 1 \times 24Ys$)
 - 4.8 bits ($1 \times 28Xs / 1 \times 28Ys$)

Test

Could this string be possible in the language that you heard?

- *5 x 4 types = 20 strings*

- *XXY_new_syll: too_too_suu ✓*
- *XXY_trained_syll: goo_goo_sjie ✓*
- *XYZ_trained_syll: teu_duu_saa**
- *XYZ_new_syll: reu_loo_gee **

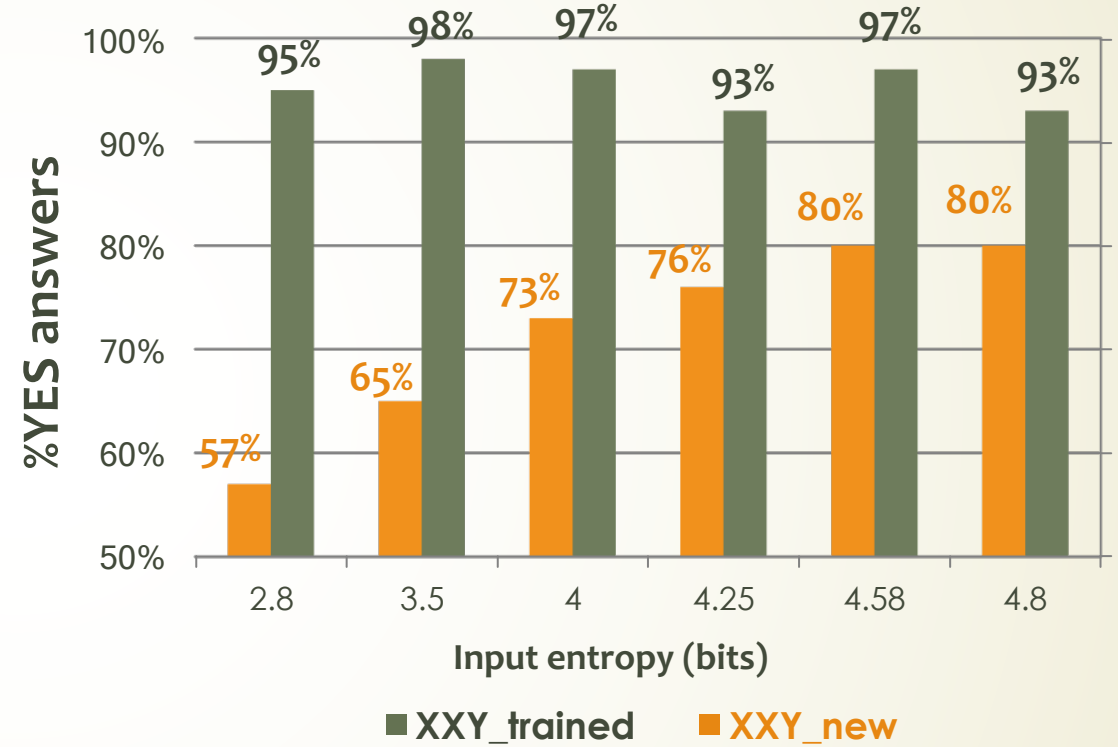
yes

no

Results

➤ the higher the entropy, the higher the tendency to accept **new XXY** strings

➤ a very similar high acceptance of **XXY trained** strings

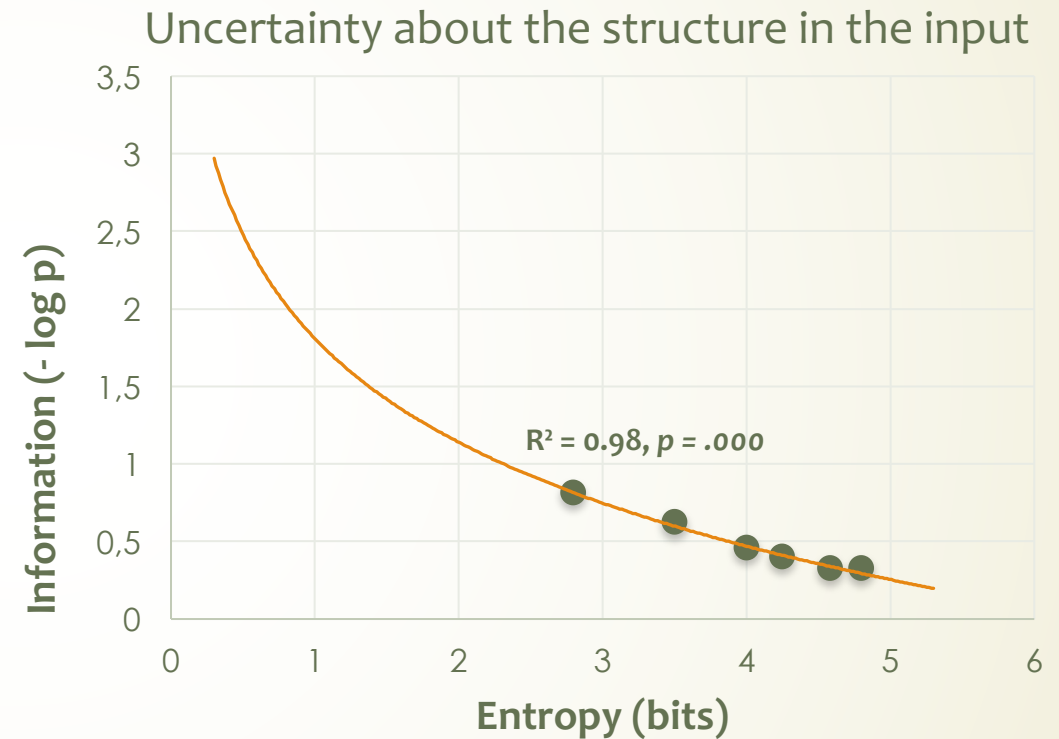


Uncertainty about the structure in the input

What is information here?

→ a quantitative measure of how uncertain the mind is about the structure when exposed to a certain input entropy

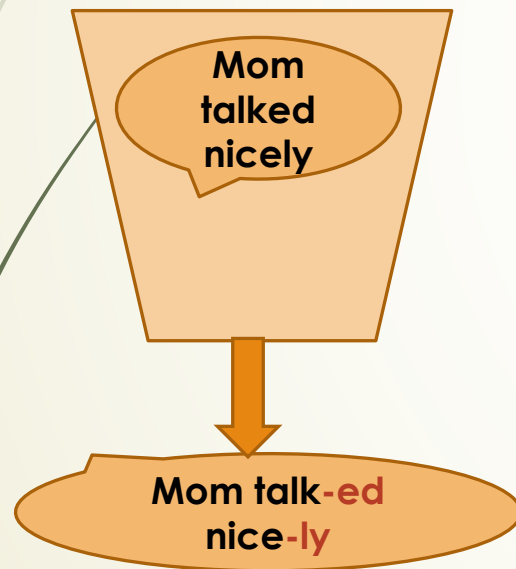
The uncertainty about structure decreases as the input entropy increases.



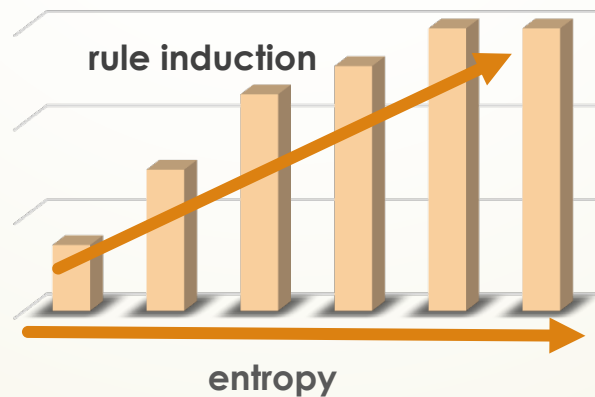
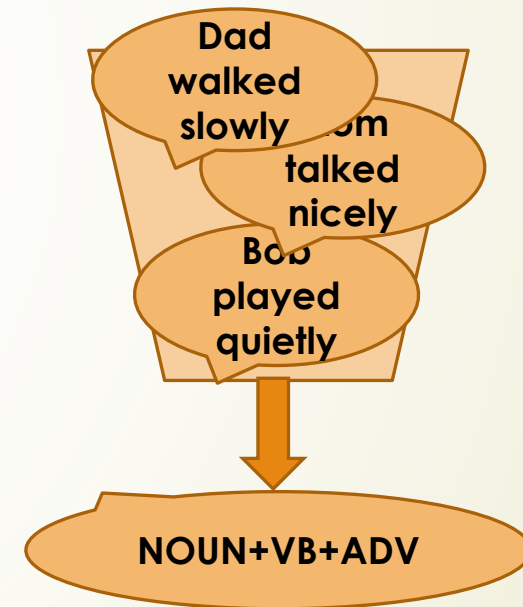
Entropy Model

Rule Induction → interaction of *input complexity (entropy)* and *channel capacity*

Low complexity (entropy) →
item-bound generalizations



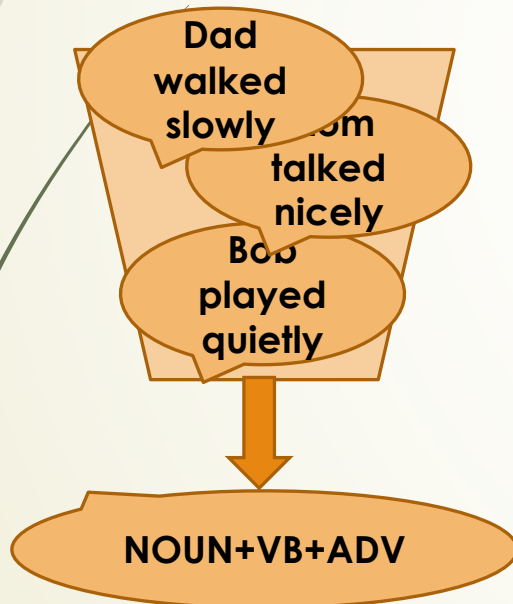
High complexity (entropy) →
category-based generalizations



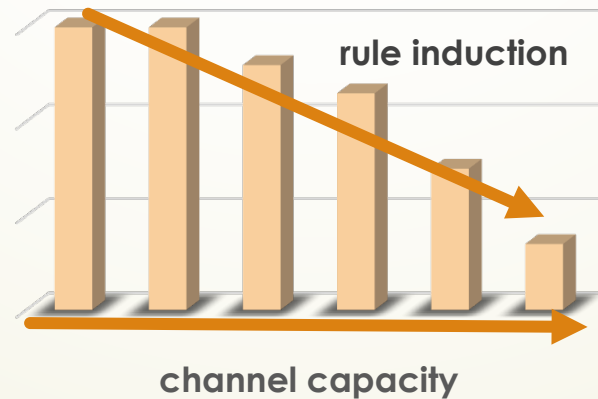
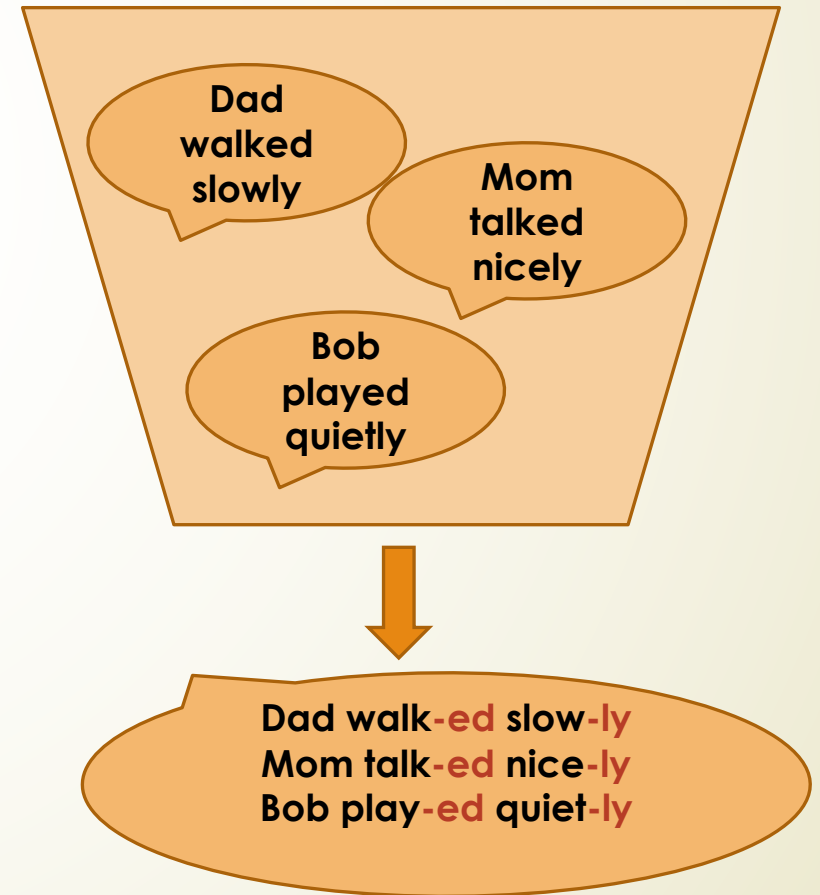
Entropy Model - hypotheses

Rule Induction → interaction of *input complexity (entropy)* and *channel capacity*

Low channel capacity → category-based generalizations



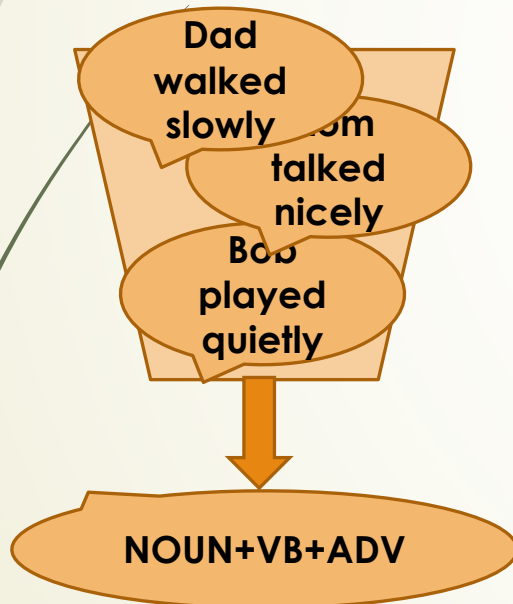
High channel capacity → item-bound generalizations



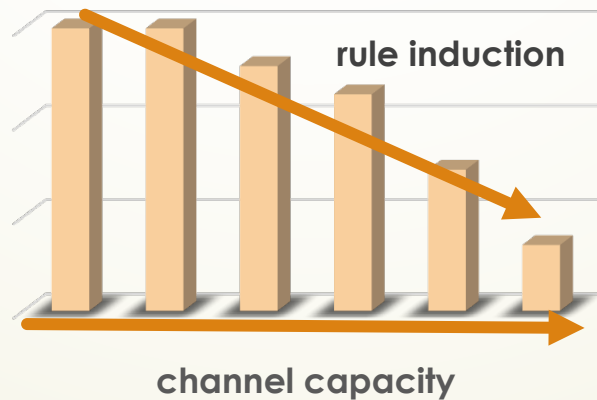
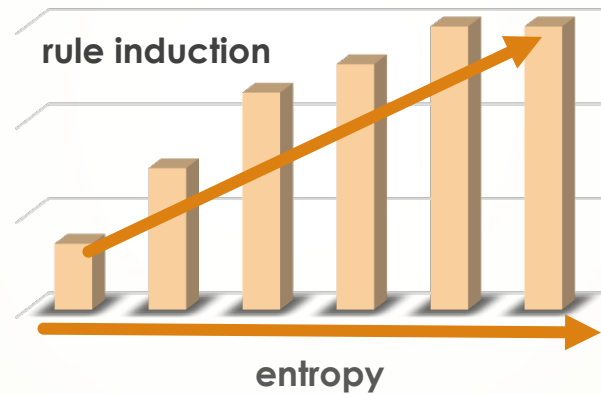
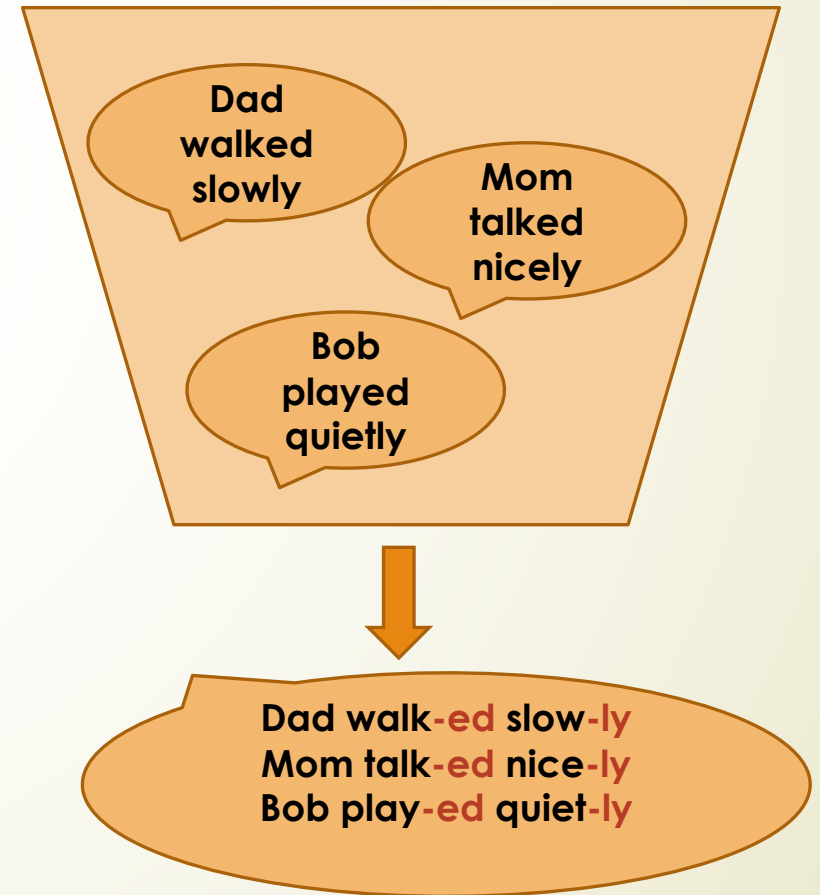
Entropy Model - hypotheses

Rule Induction → interaction of *input complexity (entropy)* and *channel capacity*

Low channel capacity → category-based generalizations



High channel capacity → item-bound generalizations



Effect of Channel Capacity on Rule Induction

Channel Capacity

- information-theoretic concept (entropy/time)
- model the limited encoding power of the brain



What are the cognitive processes that modulate channel capacity?



- memory capacity
- pattern-recognition capacity
- attention ?
- ...



What makes us see a different picture even if the picture is the same



→ keep **Input complexity** constant & measure **Channel Capacity**

- ▶ Experiment 3: 51 adults (age 19 -44; different background)
 - ▶ same AGL - 3-syllable XXY: *goo_goo_sjie*
 - ▶ entropy constant -> MedEn: $2^{14} X / 2^{14} Y$ (4.2 bits)
 - ▶ measure memory capacity
 - ▶ forward digit span
 - ▶ incidental memorization
- ▶ measure visual pattern-recognition capacity
 - ▶ Raven's Standard Progressive matrices

Incidental memory task

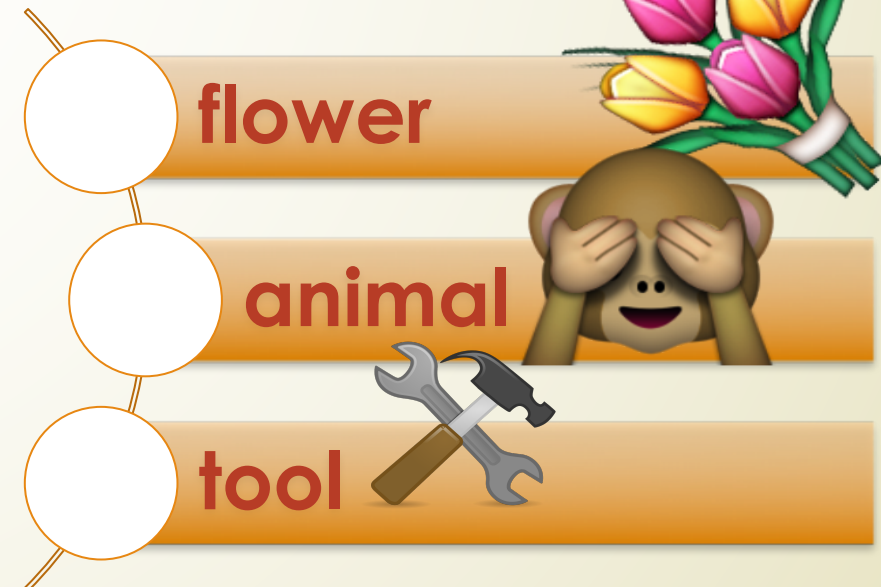
➤ Goal

- measure participants' capacity to memorize *incidentally*, without having an explicit instruction to do so



➤ Training phase

- listen to 30 pseudo-words following a Dutch syllable pattern
 - e.g. *go_pem, wa_dim*
- from a forgotten language
- What does this word sound like?

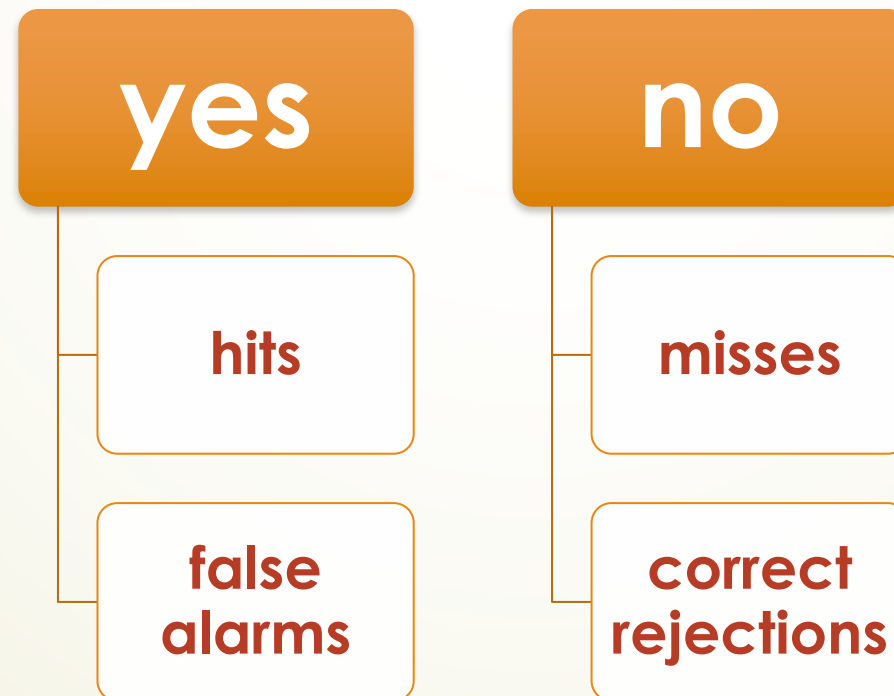
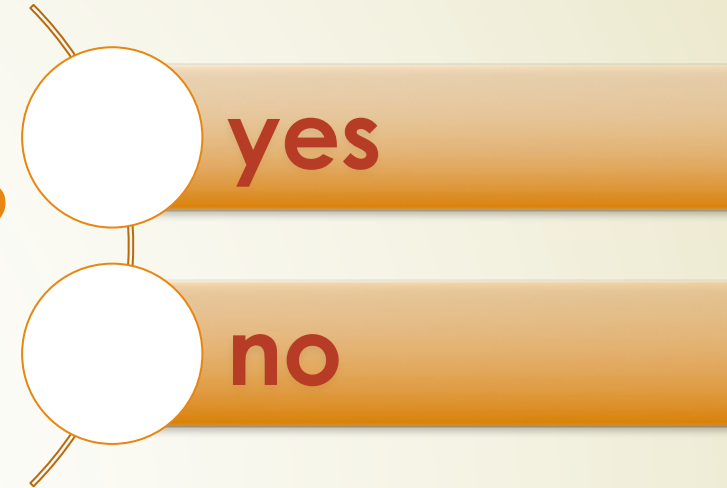


Incidental memory task

► Surprise Memory Test

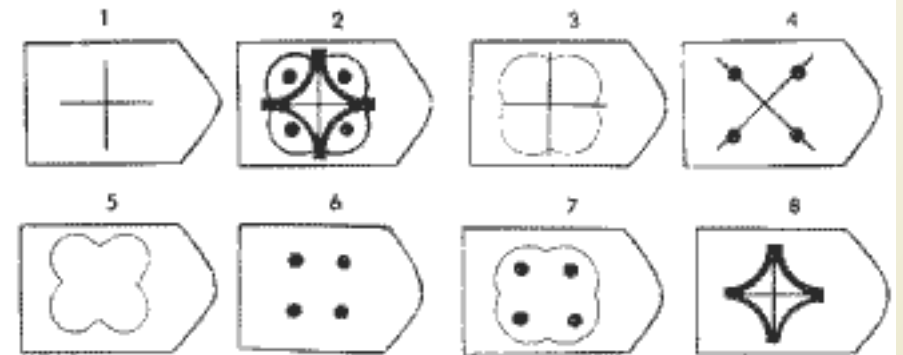
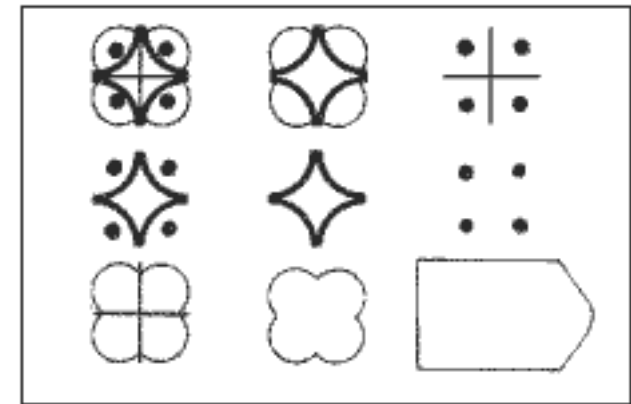
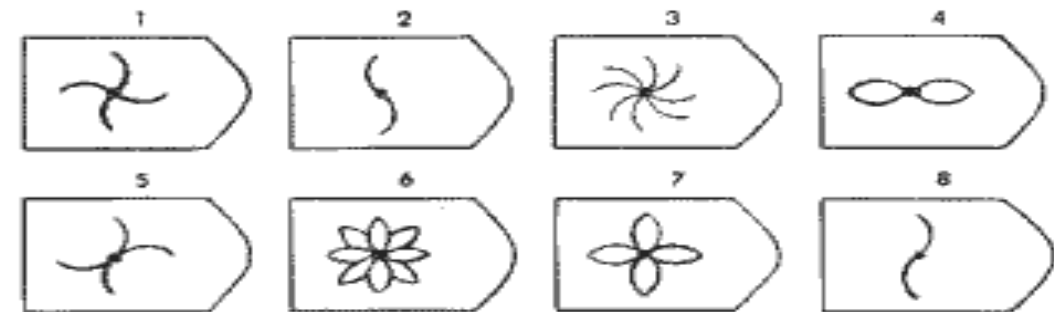
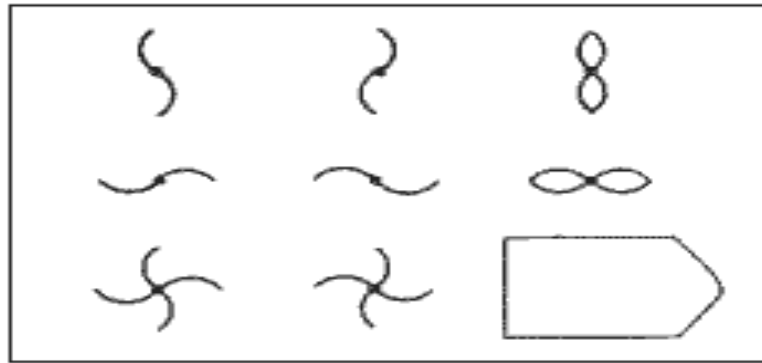
- Have you heard this word in the previous phase?
- 13 targets + 13 foils

► answers



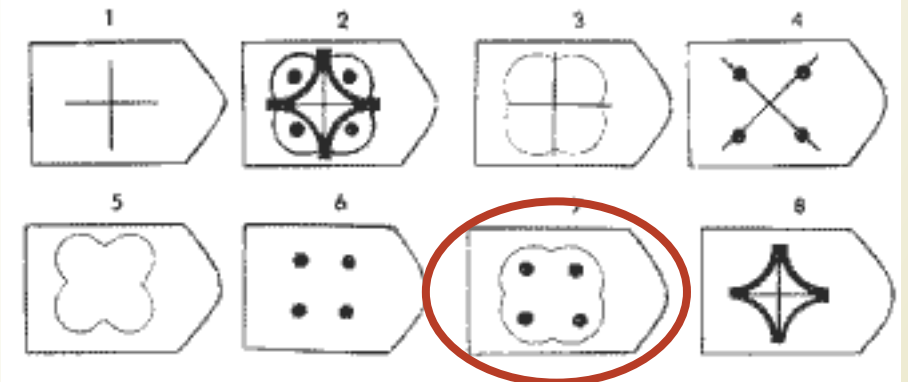
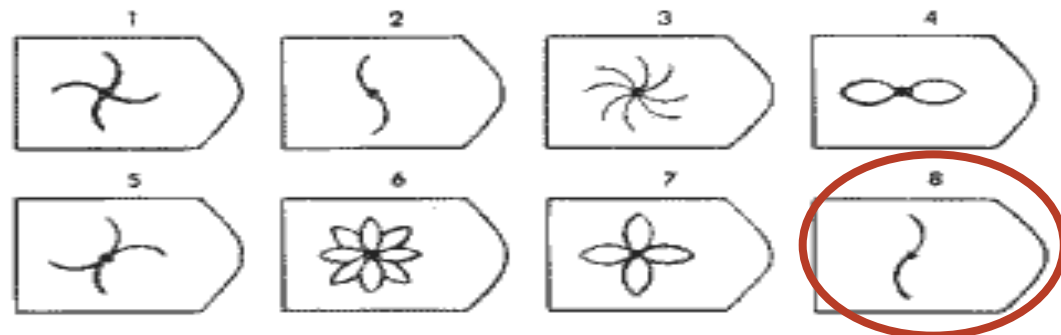
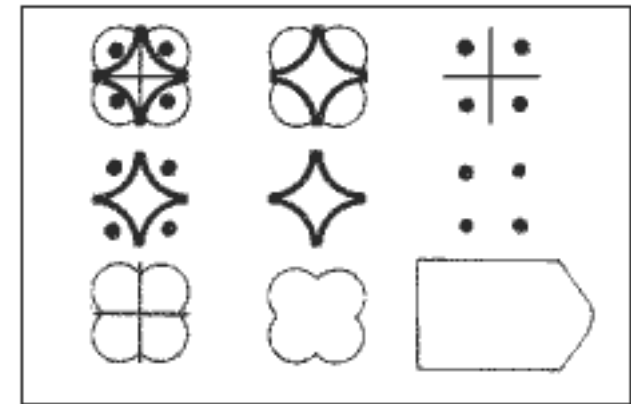
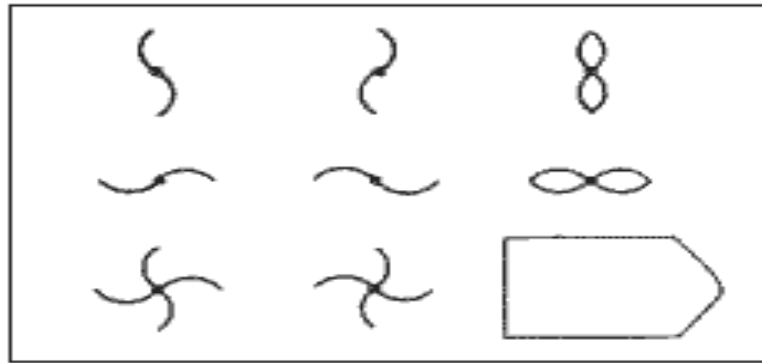
Raven's Standard Progressive Matrices

- **Visual pattern-recognition test**
 - **60 questions – logically complete patterns of shapes**
 - **Increasing difficulty**



Raven's Standard Progressive Matrices

- ▶ **Visual pattern-recognition test**
 - ▶ 60 questions – logically complete patterns of shapes
 - ▶ Increasing difficulty

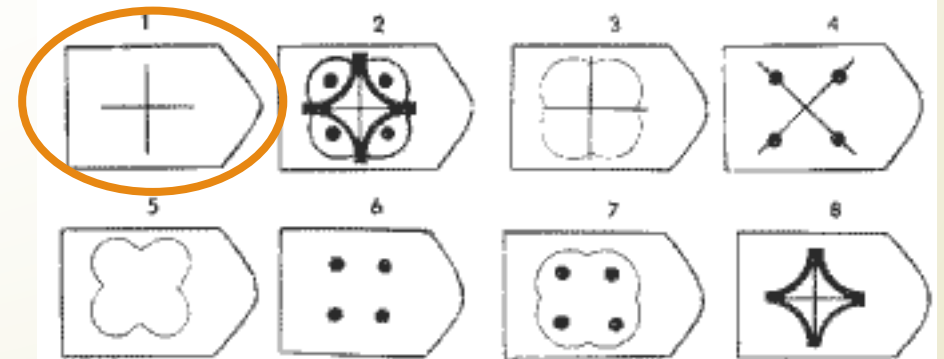
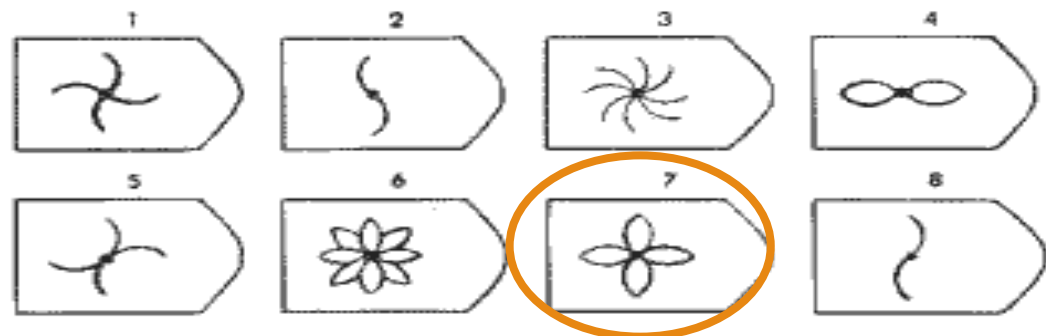
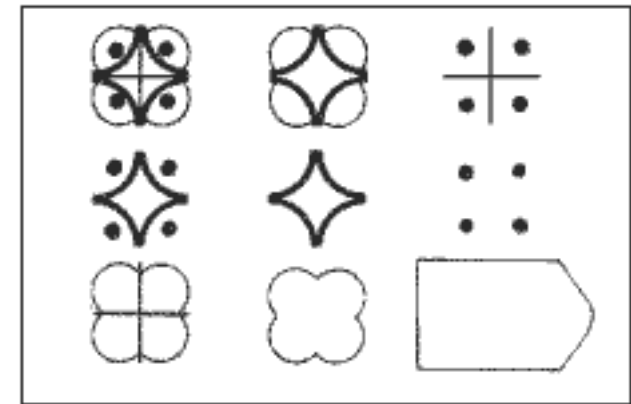
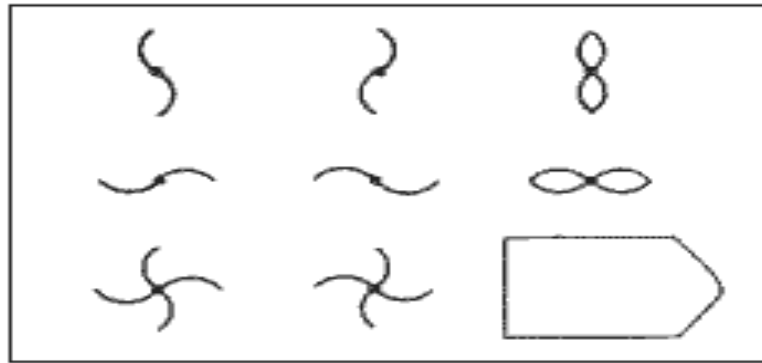


Raven's Standard Progressive Matrices

- *Visual pattern-recognition test*

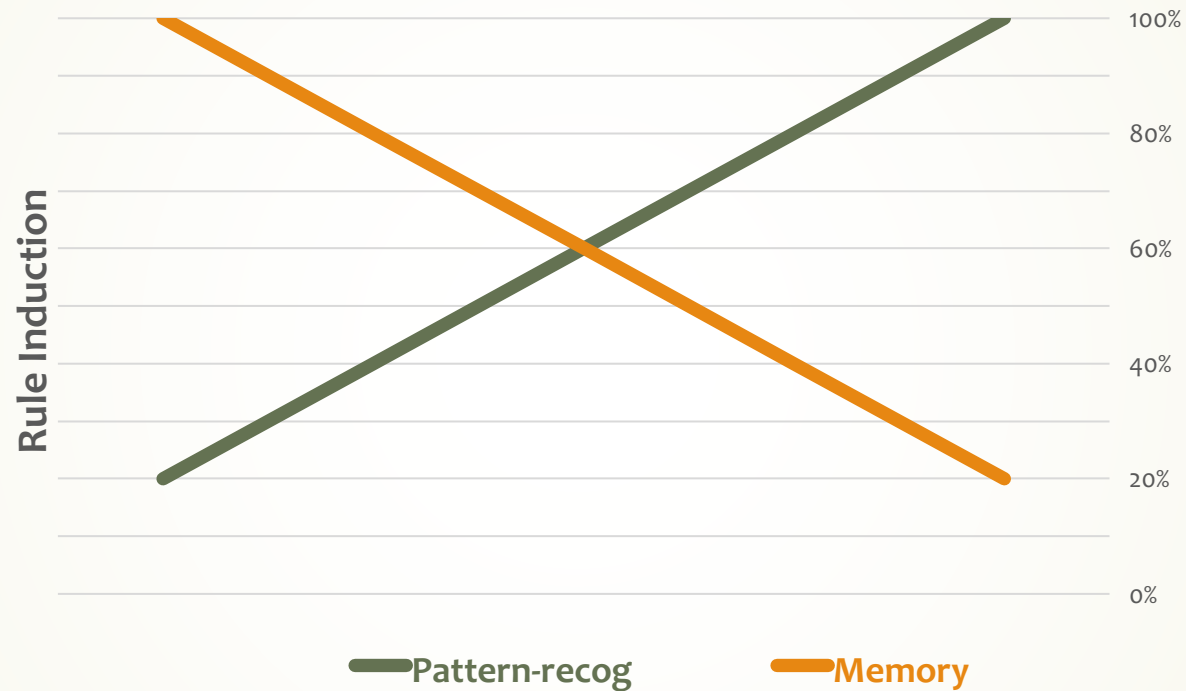
- *60 questions – logically complete patterns of shapes*

- *Increasing difficulty*



Effect of Channel Capacity on Rule Induction

Predictions

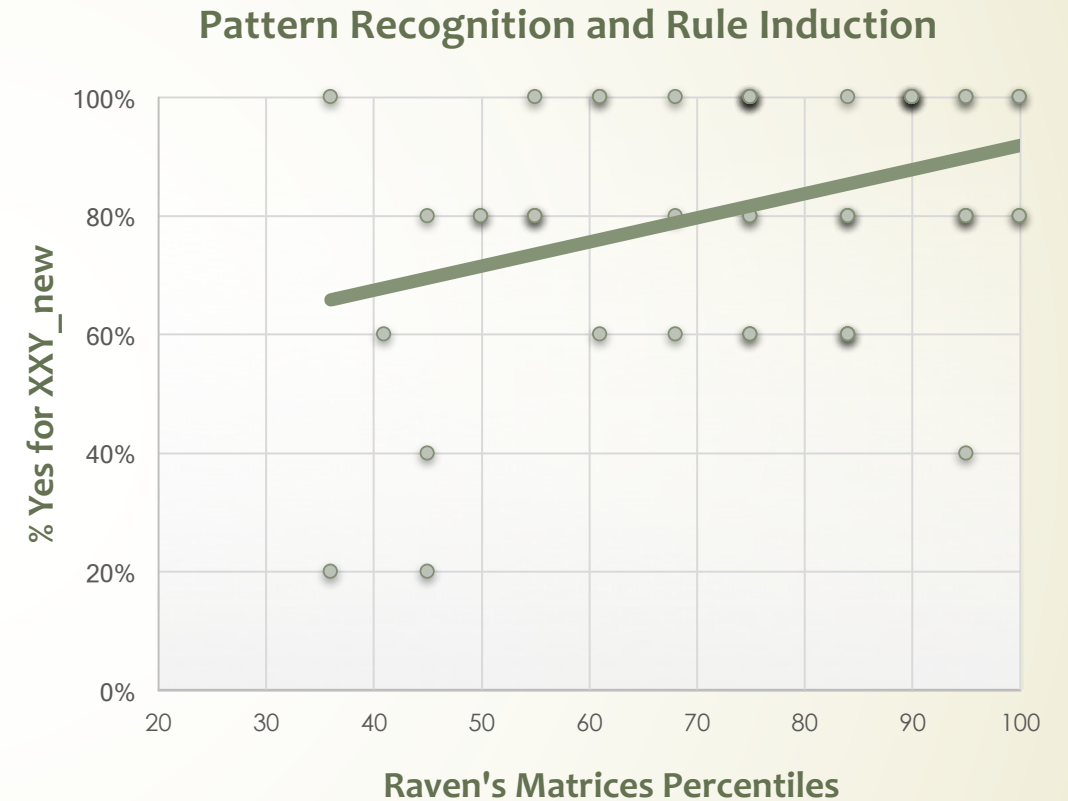
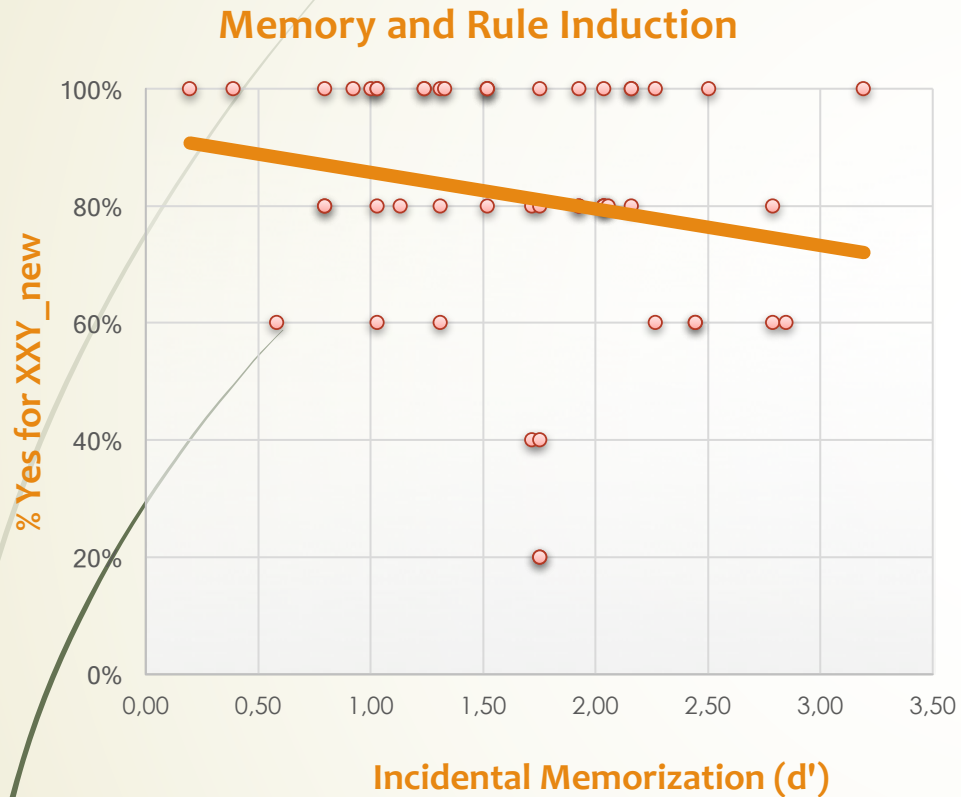


Lower memory capacity and higher pattern-recognition capacity increase tendency to generalize



Effect of Channel Capacity on Rule Induction

Results: XXY new

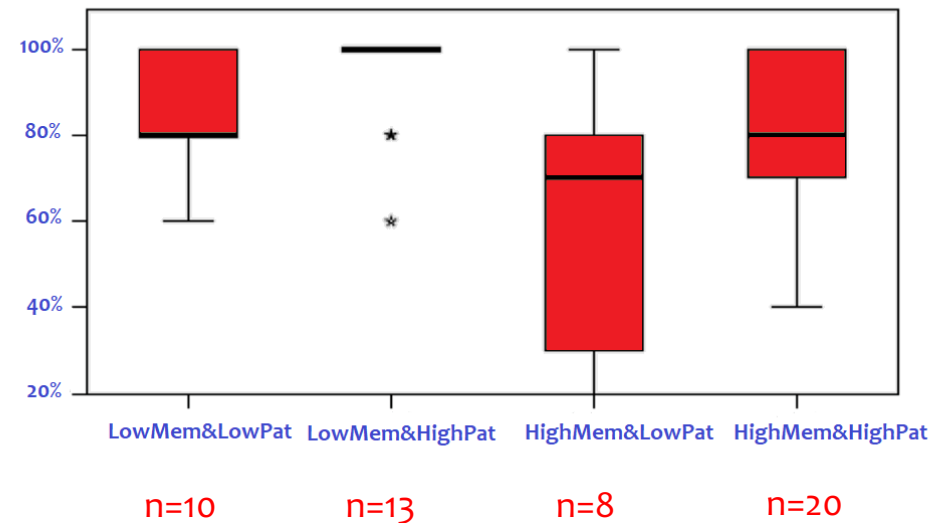
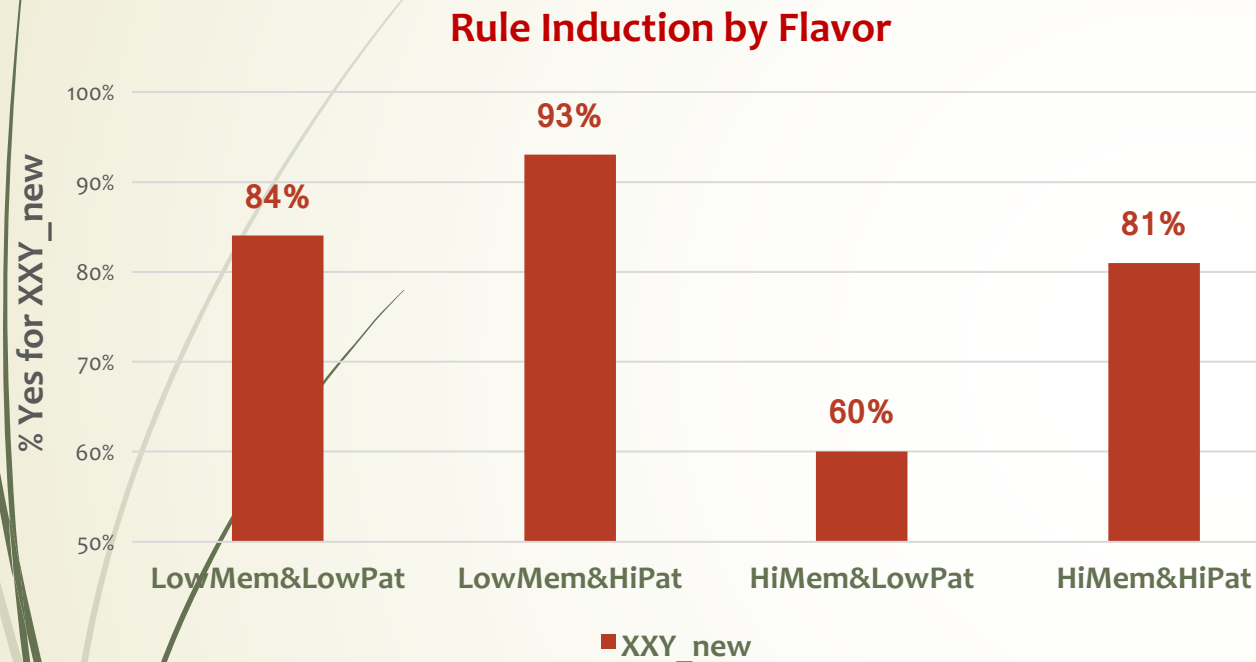


**Lower incidental memory
Higher visual pattern-recognition**

predict higher tendency to generalize

Effect of Channel Capacity on Rule Induction

Results: new XXY



People with lower memory capacity AND higher visual pattern-recognition capacity have the highest tendency to generalize

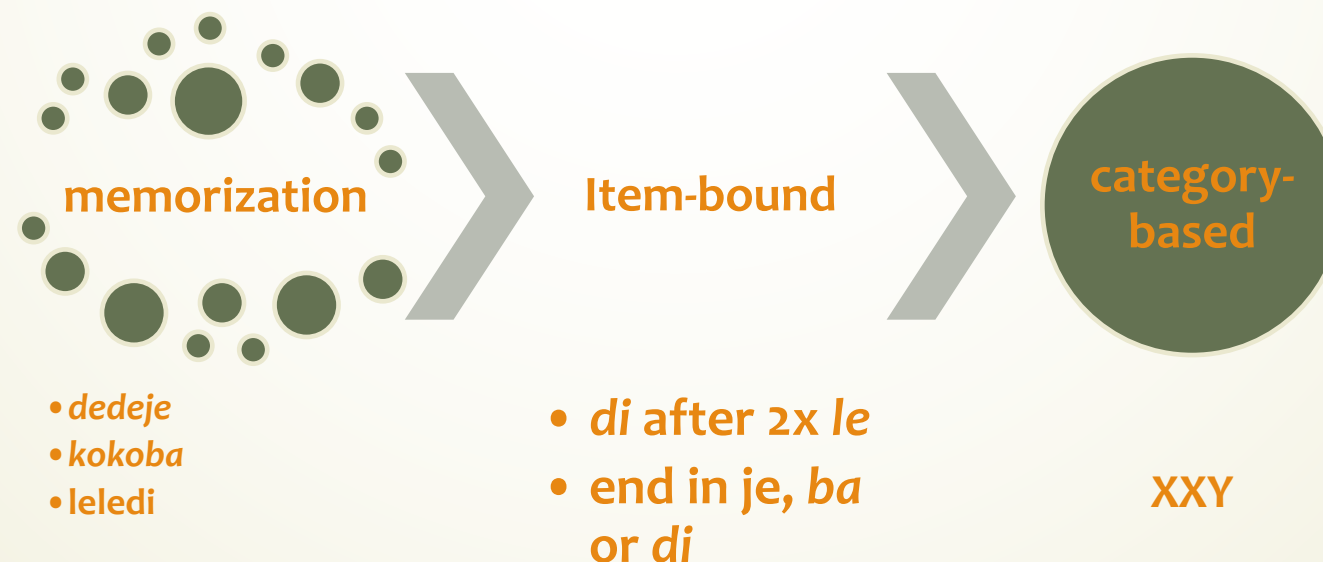
Conclusions

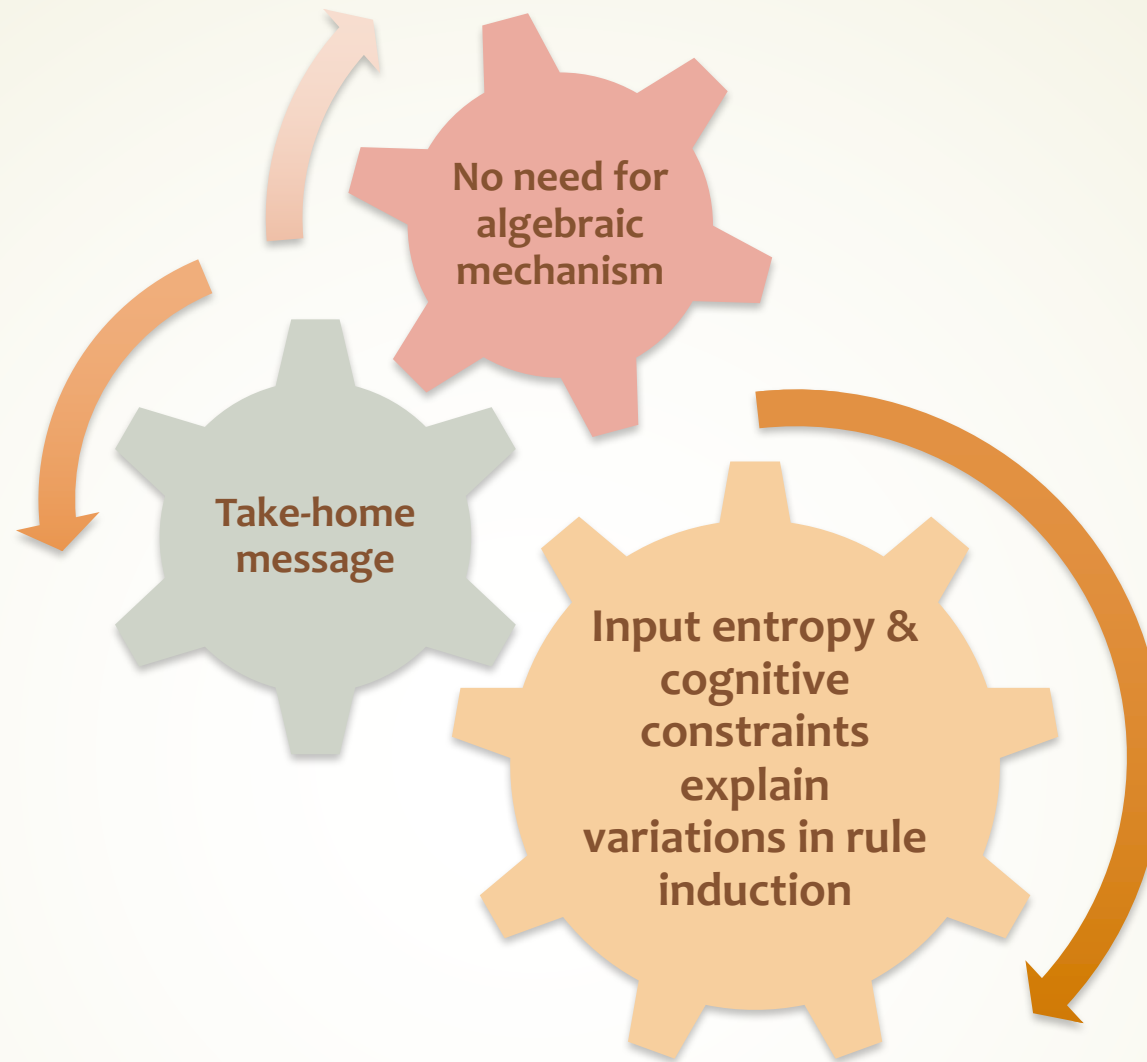
→ if input entropy increases, the tendency to generalize increases gradually

→ lower incidental memory predicts a higher tendency to generalize

→ higher visual pattern recognition predicts a higher tendency to generalize

→ the two types of rule induction are **outcomes of the same information encoding mechanism** → gradually move from lower-level *item-bound* encoding to higher-level *category-based* encoding in response to the interaction between **input entropy** and the encoding power (**channel capacity**)





Netherlands Organisation
for Scientific Research

*“To think is to forget a difference, to generalize, to abstract.
In the overly replete world of Funes there were nothing but details,
almost contiguous details.”*

Funes, The Memorious
Jorge Luis Borges